Frited States Patent and Trademark Office UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. APPLICATION NO 10/526,269 03/02/2005 Baldur Achim Offermann 995.1023 6414 03/27/2008 **EXAMINER** Steinberg & Raskin VASISTH, VISHAL V 1140 Avenue of the Americas 15th floor

Please find below and/or attached an Office communication concerning this application or proceeding.

ART UNIT

4151

MAIL DATE

03/27/2008

PAPER NUMBER

DELIVERY MODE

**PAPER** 

The time period for reply, if any, is set in the attached communication.

New York, NY 10036-5803

Application No.   Applicant(s)   Applicant(s)   Applicant(s)   Applicant(s)   Applicant(s)   Applicant(s)   Applicant(s)   At Unit   VISHAL VASISTH   4151	<del></del>		<u></u>									
Examiner		Application No.	Applicant(s)									
VISHAL VASISTH   151	Office Action Commence	10/526,269	OFFERMANN, BALDUR ACHIM									
Preiod for Reply  A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Edensions of their may be available user the grousdoss of 37 CFR 1.13(b), in to event, however, may a reply be treely filed at the common of the major and the maintain statutory period will apply and will expire StX (b) MONTHS from the maining date of this communication. Pallute to reply within the set or entided period for reply will, by statics, cause the application become ABANDONED 15 U.S.C. § 133, Aguing processed by the Office later than these months after the mailing date of this communication. Pallute to reply within the set or entided period for reply will, by statics, cause the application become ABANDONED 15 U.S.C. § 1330, Aguing processed by the Office later than these months after the mailing date of this communication, even if timely filed, may reduce any search application in the open 200 for 100 f	Oπice Action Summary	Examiner	Art Unit									
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  □ Consisting of time rays be evaluate under the provisions of 37 CFR 1.136(a), in no event, however, may a rays be timely filled to the communication of the rays by evaluate under the provisions of 37 CFR 1.136(a), in no event, however, may a rays be timely filled to 18 to 18 communication for reply is specified above, the maximum attaturory period was payed wall vergine (X) (b) MOTHS from the maining date of this communication, even if timely filed, may reduce any search gladent term adjustment. See 37 CFR 1.704(b).  Status  1) □ Responsive to communication(s) filled on 22 March 2005.  2a) □ This action is FINAL.  2b) □ This action is non-final.  3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the ments is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) □ Claim(s) 1 and 2 isfare pending in the application.  4a) Of the above claim(s)		!	I									
WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  Extransions of mine may be available under the provides of 37 CFR 1.1960, in no event, hower, may a repty be flinely filed after SU (6) MONTHS from the mailing date of this communication.  Failure to may be with the set or extended period for very will by statistic, such the supplier of the beam ARANDEONED 36 U.S. C. § 133).  Any repty received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any enamed period for section of the mailing date of this communication, even if timely filed, may reduce any enamed period for the other way and the mailing date of this communication, even if timely filed, may reduce any enamed period for the section of the mailing date of this communication, even if timely filed, may reduce any enamed period for the section of the mailing date of this communication, even if timely filed, may reduce any enamed period for the section of the mailing date of this communication, even if timely filed, may reduce any enamed period for the section of the mailing date of this communication, even if timely filed, may reduce any enamed period for the section of the section of the mailing date of this communication, even if timely filed, may reduce any enamed period for the section of the section is non-final.  3) □ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.  Disposition of Claims  4) □ Claim(s) 1 and 2 is/are pending in the application.  4) ○ Claim(s) 1 and 2 is/are pending in the application.  4) □ Claim(s) 1 and 2 is/are pending in the application.  5) □ Claim(s) 1 and 2 is/are objected to section of election requirement.  Application Papers  9) □ The specification is objected to by the Examiner.  10) □ The drawing(s) filed on												
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3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.  Attachment(s)  1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)  1 Notice of Informal Patent Application		s have been received.										
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Application/Control Number: 10/526,269 Page 2

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## **DETAILED ACTION**

## Specification

1: The disclosure is objected to because of the following informalities: In the specification of application no. 10/526,269 on Page 1/Line 24 "as its" should read "as it, also, on Page 1/L. 25 "the need of" should read "the need for." On Page 2/Line 19 "water steam has the" should read "water steam is," also on Page 2/Line 28 "the toxic inconvenient" should read "the toxic inconvenience." On Page 4/Line 23 "cinematic" should be "kinematic," also on Page 4/Line 28 "it refer to" should read "it refers to." Appropriate correction is required.

# Claim Objections

2. Claims 1 and 2 are objected to because of the following informalities: claims 1 and 2 both contain capitalized letters and quotation marks which are improper.

Claim 2, step 4 "until an homogenous mixture" should read "until a homogenous mixture."

Appropriate correction is required.

# Claim Rejections - 35 USC § 112

- 3. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 4. Claims 1 and 2 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 1, the preamble recites a fluid and a process but the claim is directed only to the composition of the fluid and contains no process steps. Therefore, the boundaries sought for protection are not readily ascertainable. Claim 1 is treated as a composition.

Claim 2, the preamble recites a fluid and a process but the claim is directed only to the process and contains no composition components. Therefore, the boundaries sought for protection are not readily ascertainable. Claim 2 is treated as a process claim.

# Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 6. Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by Sapienza et al., US Patent No. 5,912,215 (hereinafter referred to as US '215).

Regarding claim 1, US '215 discloses a fluid composition comprising, a phenolic antioxidant present between 0.05 wt% to 2.0 wt% (phenyl antioxidant between 0.1 and 0.5% in weight) (Col. 4/L. 3-9) and a basic fluid such as polyalphaolefins present between 98 wt% to 99.95 wt% (0.05 to 2.0 wt% subtracted from 100%) (see Abstract and Col. 3/L. 31-46).

7. Claim 2 is rejected under 35 U.S.C. 102(b) as being anticipated by Lakshmanan et al., US Patent No. 5,482,982 (hereinafter referred to as US '982).

Regarding claim 2, US '982 discloses a method of preparing a polymer blend composition comprising;

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- (1) 400 g of final composition (weighing the reagents) (Col. 4/L. 54), and
- (2) homogenizing (Col. 4/L. 44-65) the composition with the use of a blade mixer (shaker) (Col. 4/L. 47-48) that is heated to an elevated temperature.
- (3) preparing a polymer blend composition comprising, adding an antioxidant to the mixer (mechanical shaker) under continuous mixing (shaking) (Col. 4/L. 51-61).
- (4) preparing a polymer blend composition comprising, mixing and homogenizing the composition after the addition of the antioxidant until a homogeneous mixture is obtained and collecting the mass in a release lined tray (metal containers) (Col. 4/L. 54-65).

With regard to the specific limitation of the instant claim, reciting a heat system for work between room temperature and up to 70°C, the heater of US '982 is fully capable of operating in that temperature range.

## Conclusion

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure: Howard, US Patent No. 6,455,623, and Palazzotto et al., US Patent Application Publication No. 2003/0148900.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to VISHAL VASISTH whose telephone number is (571)270-3716. The examiner can normally be reached on M-F 8:30a-5:30p.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mikhail Kornakov can be reached on (571)272-1303. The fax phone

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number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

W

/Michael Kornakov/

Supervisory Patent Examiner, Art Unit 4151

# Notice of References Cited Application/Control No. 10/526,269 Applicant(s)/Patent Under Reexamination OFFERMANN, BALDUR ACHIM Examiner VISHAL VASISTH Art Unit Page 1 of 1

### **U.S. PATENT DOCUMENTS**

				CION ATERN BOSOMENTO	
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-5,912,215	06-1999	Sapienza et al.	508/584
*	В	US-5,482,982	01-1996	Lakshmanan et al.	524/68
*	С	US-6,455,623	09-2002	Howard, David L.	524/474
*	D	US-2003/0148900	08-2003	Palazzotto et al.	508/501
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#### **FOREIGN PATENT DOCUMENTS**

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### NON-PATENT DOCUMENTS

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

995.1023

# UNITED STATES PATENT AND TRADEMARK OFFICE

Re:

Application of:

Achim Baldur OFFERMANN

Serial No.:

Not yet known

Filed:

Herewith

For:

HEAT TRANSMITTING FLUID AND ITS RESPECTIVE OBTAINING PROCESS

# INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 March 2, 2005

Dear Sir:

Pursuant to M.P.E.P. §609 and 37 C.F.R. §§1.56, 1.97-1.99, applicant submits herewith an information disclosure citation which lists a reference of which the applicant is aware. A copy of the reference is also submitted herewith.

This submission does not represent that a search has been made or that no better art exists. While the term "reference" is used in citing the publication called to the examiner's attention herein, applicants do not make any admission that it is a "prior art" reference within the meaning of the statutory and case law.

Applicant reserves the right to contend, where appropriate, that a reference asserted against any claim of the present application is not prior art under the facts and the law.

Applicant also reserves the right to present appropriate arguments and/or evidence to establish patentability over the reference, should the reference be applied against the claims of the

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present application.

Applicant respectfully requests that the examiner independently determine those items which the examiner would consider the most pertinent of the reference cited herein.

Applicant respectfully requests that the reference be considered and made of record.

This Information Disclosure Statement is being filed simultaneously with the filing of the application and therefore no fee is due. However, in the event that a fee is required, the Director is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 500518.

Respectfully submitted,

Reg. No. 42,507

Encls. PTO-1449

1 Reference

International Search Report

Steinberg & Raskin, P.C.

1140 Avenue of the Americas, 15th Floor

New York, NY 10036-5803

Telephone: (212) 768-3800

Facsimile: (212) 382-2124

E-mail: pto@steinbergraskin.com

FORM PTO-1449 (REV. 7-80)	PATENT AND TRADEMARK OFFICE									ATTY. DOCKET NO.: 995.1023 SERIAL NO.: Not yet known 10/526269				
	LIST OF PRIOR ART CITED BY APPLICANT  (Use several sheets if necessary)									APPLICANT(S): Achim Baldur OFFERMANN				
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